

BOX PATENT APPLICATION
ASSISTANT COMMISSIONER FOR PATENTS
Washington, D.C. 20231

EXPRESS MAIL LABEL NO.: EL629488802US
PATENT
Case Docket No. 36856.559

Date: September 27, 2001

Sir:

Transmitted herewith for filing is the patent application of:

Inventor(s): Takeshi NAKAO, Koji FUJIMOTO and Michio KADOTA

For: SURFACE ACOUSTIC WAVE DEVICE AND MANUFACTURING METHOD THEREFOR

☐ Applicant(s) claim(s) benefit under 35 U.S.C. § 119(e) of United States provisional application No. _____ filed _____

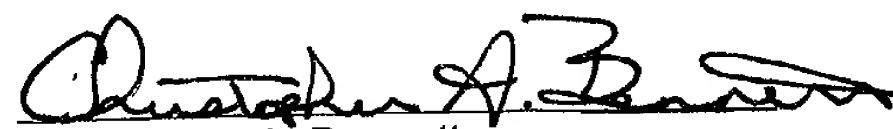
Enclosed are:

- 40 Pages of Specification
- 12 Sheet(s) of drawings ☐ formal ☒ informal
- ☐ Declaration and Power of Attorney ☒ Will follow.
- ☐ Form PTO-1595 and an Assignment of the invention to Murata Manufacturing Co., Ltd. of 26-10 Tenjin 2-chome, Nagaokakyo-shi, Kyoto-fu 617-8555, JAPAN ☒ Will follow
- ☒ A certified copy of Japanese Patent Appln. No. 2000-329341 filed on October 27, 2000, from which priority is claimed in the subject case pursuant to Rule 55b and 35 U.S.C. 119. ☐ Will follow
- ☐ A verified statement to establish small entity status under 37 CFR 1.9 and 37 CFR 1.27.
- ☐ Information Disclosure Statement, Form PTO 1449, and 2 cited reference(s).
- ☐ Change of Correspondence Address
- ☐ Preliminary Amendment
- ☒ General authorization/request to Petition for Extensions of Time
- ☒ Return Postcard

| FOR: | NO. FILED | NO. EXTRA | SMALL ENTITY RATE | SMALL ENTITY FEE | | OTHER THAN SMALL ENTITY RATE | OTHER THAN SMALL ENTITY FEE |
|--------------|-----------|-----------|-------------------|------------------|----|------------------------------|-----------------------------|
| BASIC FEE | | | | \$355.00 | OR | | \$710.00 |
| TOTAL CLAIMS | 29 | -20= | 9 | \$ | OR | X 18 | \$162.00 |
| INDEP CLAIMS | 4 | -3= | 1 | \$ | OR | X 80 | \$80.00 |
| MULTIPLE | | | | \$ | OR | + 260 | \$-0- |
| DEP CLAIMS | | | | | | TOTAL: | \$952.00 |
| PRESENTED | | | TOTAL = | | | | |

- ☐ Please charge my Deposit Account No. 50-1353 the amount of \$_____. A duplicate copy of this sheet is enclosed.
- ☐ Check in the amount of \$_____ to cover the filing fee is enclosed.
- ☒ Please charge my Credit Card the amount of \$952.00. Please see the enclosed Credit Card Payment Form (PTO-2038)
- ☐ Credit Card Payment Form for \$40.00 covering the Assignment Recordation Fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 50-1353. A duplicate copy of this sheet is enclosed.
 - ☒ Any additional filing fees required under 37 CFR 1.16.
 - ☒ Any patent application processing fees under 37 CFR 1.17.
- ☐ The Commissioner is hereby authorized to charge payment of the following fees during the pendency of this application or credit any overpayment to Deposit Account No. 50-1353. A duplicate copy of this sheet is enclosed.
 - ☐ Any patent application processing fees under 37 CFR 1.17.
 - ☐ The issue fee set in 37 CFR 1.18 at or before mailing of the Notice of Allowance, pursuant to 37 CFR 1.311(b).
 - ☐ Any filing fees under CFR 1.16 for presentation or extra claims.

Respectfully submitted,


Christopher A. Bennett
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jc843 U.S. PTO
09/27/01

09/27/01 09/27/01

jc986 U.S. PTO
09/27/01

PATENT

Docket No:36856.559

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Takeshi NAKAO et al.

For: SURFACE ACOUSTIC WAVE DEVICE AND MANUFACTURING METHOD
THEREFOR

CERTIFICATE OF MAILING

BOX PATENT APPLICATION
Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

"EXPRESS MAIL" Mailing Label No.: EL629488802US

Date of Deposit: September 27, 2001

I hereby certify that an application for patent, including:

One-page cover sheet; 40 pages of Specification (which includes 29 claims and a one-page Abstract); 12 Sheets of formal Drawings; a General Authorization/Request to Petition for Extensions of Time; a Credit Card Payment Form (PTO-2038) authorizing a charge to my credit card in the amount of \$952.00 to cover the filing fee; a certified Priority Document; and Return Postcard are being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Box Patent Application, Washington, D.C. 20231.

Date of Deposit: September 27, 2001


Christopher A. Bennett

09434360-092701

PATENT APPLICATION SERIAL NO. _____

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE
FEE RECORD SHEET

10/01/2001 MAHMED1 00000087 09964831

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|-----------|-----------|
| 01 FC:101 | 710.00 OP |
| 02 FC:102 | 80.00 OP |
| 03 FC:103 | 162.00 OP |

PTO-1556

(5/87)

*U.S. GPO: 2000-468-987/39595